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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication Number

10/084,856

Confirmation Number

: 6222

Applicant

: Douglas U. Mennie et al.

Filed

: February 27, 2007

TC/A.U.

3694

Examiner

Title:

Automatic Funds Processing System

Docket Number

247171-000373USD1

Customer Number

41230

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 and 1.98

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

Dear Commissioner:

CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mailing in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date indicated below:

November 21, 2007

Date

(Joanna Pinos)

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on attached Form PTO-1449 be considered by the Examiner and made of record.

The applicants would like to make the Examiner aware of the following pending U.S. applications that are being pursued by the assignee of the present application which may be considered pertinent to the Examiner. The accompanying Form PTO-1449 and attached reference (NPL Document) provide a portion of a prosecution history for at least one of the pending U.S. applications noted below. It is not applicant's present intent to submit IDSs for every Office Action or Response entered in each of the each of the pending U.S. applications noted below, as this would likely be less convenient for the Examiner than reviewing the same through the IFW and the Examiner is invited to review, on an ongoing basis, the prosecution of the pending U.S. applications noted below. However, should the Examiner prefer to receive separate paper copies or PDFs of such documents stored in the IFW system, the Examiner is respectfully requested to let such preference be known to the applicants so applicants can take appropriate steps to accommodate such preference.

Applic. No.	Date Filed	Inventor (Title	IFW?	Non-IFW: Same or Similar Spec. as
10/084,856	2/27/02	J. Jones	Automated Document Processing System Using Full Image Scanning	Y	And the state of t
10/198,872	7/19/02	Mennie	Automatic Currency Processing System	Y	
10/393,867	3/20/03	J. Jones et al.	Image Processing Network	Y	
11/434,092	5/15/06	W. Jones et al.	Automatic Currency Processing System	Y	
11/803,281	5/14/07	Mennie et al.	Automated Document Processing System Using Full Image Scanning	Y	
11/803,365	5/14/07	Mennie et al.	Automated Document Processing System Using Full Image Scanning	N	2002-0126885 A1
11/803,366	5/14/07	Mennie et al.	Automated Document Processing System Using Full Image Scanning	Y	
11/803,381	5/14/07	Mennie et al.	Automated Document Processing System Using Full Image Scanning	N	2002-0126885 A1

This application claims priority to U.S. Application for Patent Serial No. 09/059,813 filed April 14, 1998 (now U.S. Pat. No. 6,661,910), which was a complete application claiming the benefit of the filing dates of United States Provisional Application No. 60/043,516, filed April 14, 1997 and United States Provisional Application No. 60/053,606, filed July 22, 1997, all of the above applications of which are incorporated herein by reference in their entirety.

In accordance with 37 C.F.R. §1.98(a)(2)(iii), as modified by the October 19, 2004 OG notice, copies of the above-referenced pending U.S. applications which are stored in the USPTO's IFW system are no longer required; and hence, no copies of such applications are enclosed.

For the pending applications which are not currently in the IFW system, where applicable a publication having the same or similar specification as the corresponding pending application is identified in the last column. If any of the above pending applications not currently in the IFW system should not be in the IFW system at the time the present application is taken up for examination, it is requested that the Examiner please notify the Applicant(s). In accordance with 37 C.F.R. §1.98(a)(2)(iii), copies of such applications together with their corresponding claims will be then provided to the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

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an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.98(d), any necessary copies are enclosed, subject to the exclusions permitted under the September 21, 2004 OG Notice and 37 C.F.R. § 1.98(a)(2)(ii), which state that copies of U.S. patents and U.S. published application references are no longer required.

The present Information Disclosure Statement is being submitted after the mailing of the first Office Action reflecting an examination on the merits but before the mailing of a Final Rejection or Notice of Allowance. No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

No fee is believed due at the present time. However, should any fees be due in connection with the filing of this paper, the Commissioner is authorized to charge any additional fees that may be due or credit any overpayments (except the issue fee), while this application is pending to Nixon Peabody LLP Deposit Account No. 50-4181, Ref. 247171-000373USD1.

Respectfully submitted,

November 21, 2007 Date

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